

JC14 Rec'd FCT/PTO 26 APR 2002

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FACSIMILE TRANSMISSION

To: U. S. Patent and Trademark Office Attention: Corrected Filing Receipt

Date: April 26, 2002

Fax # 703-308-7751

Pages 3

From: SCULLY, SCOTT, MURPHY & PRESSER

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MAY 20 2002

TECH CENTER 1600/2900

Re: Richard J. Lewis, et al.
U.S. Patent Appln. No. 09/806,376
NOVEL PEPTIDES
Our Docket: 14455

COMMENTS:

The Filing Receipt for the above-identified patent application has the spelling of one of the Applicant's names typed incorrectly.

It should read as follows:

PAUL FRANCIS ALEWOOD

Please send to us a corrected Filing Receipt with the information as it is shown on the pages to follow.

Thank you.

If there are any problems concerning this facsimile, please call (516) 742-4343 and ask for Rosemarie at Ext.596

CONFIDENTIALITY: The documents accompanying this facsimile transmission may contain information which is either confidential or legally privileged and is intended only for the authorized use of the individual or entity named above without right of publication or republication, dissemination or disclosure except as expressly set forth or established by course of dealing. All rights are reserved. If you are not the intended recipient, you are hereby notified that any disclosure, copying, distribution or use of the contents of this facsimile is prohibited. If you received this transmission in error, please notify us immediately by telephone to arrange for return of the documents.

ASSIGNMENT

PATENT

WHEREAS, I, (1) Richard James LEWIS
1 Australian citizen, residing at 20 Ross Street, Woolloongabba,
Queensland 4102, Australia
(2) Paul Francis ALEWOOD
2 Australian citizen, residing at 10 Vanwall Road, Moggill, Queensland 4070,
Australia
(3) Iain Andrew SHARPE
3 Australian citizen, residing at 6/99 Indooroopilly Road, Taringa,
Queensland 4068, Australia

hereinafter referred to as Assignor (collectively if more than one inventor is listed above), have invented certain new and useful improvements in NOVEL PEPTIDES the specification of which:

- (a) ☐ was executed on even date herewith;
- (b) ☐ was filed on _____ as ☐ Application No. 0 / _____ or ☐ Express Mail No., as Application No. not yet known _____ and was amended on _____ (if applicable); or
- (c) ☒ was described and claimed in PCT International Application No. PCT/AU99/00843 filed on 1 October, 1999 and as amended under PCT Article 19 on _____ (if any) and/or under PCT Article 34 on _____ (if any).

AND WHEREAS, THE UNIVERSITY OF QUEENSLAND
 with its principal place of business at St. Lucia, Queensland 4067, Australia

(hereinafter referred to as Assignee) desires to acquire the entire right, title, and interest in and to the said improvements with respect to the United States of America, its territories and possessions.

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Assignor hereby acknowledges that it has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over, unto Assignee, its successors, legal representatives and assigns, the entire right, title, and interest in the United States of America, and its territories and possessions in, to and under said improvements, and any Patent Applications in the United States of America and all divisions, renewals and continuations thereof, and all Patents of the United States of America which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions; and Assignor hereby authorizes and requests the Commissioner of Patents of the United States of America to issue all Patents for said improvements to Assignee, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND ASSIGNOR HEREBY covenants and agrees that it will communicate to Assignee, its successors, legal representatives and assigns, any facts known to it respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in the United States of America.

IN TESTIMONY WHEREOF, Assignor intending to be legally bound has hereunto affixed its signature.

This 20 day of April 2001

R. Lewis
 Signature of Richard J LEWIS

This 25 day of May 2001

P. Alewood
 Signature of Paul F ALEWOOD

This 16th day of May 2001

Iain Sharpe
 Signature of Iain A SHARPE



UNITED STATES PATENT AND TRADEMARK OFFICE

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| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|--------------|-----------------|----------|------------|------------|
| 09/806,376 | 10/18/2001 | 1646 | 1642 | 14455 | 5 | 29 | 4 |

CONFIRMATION NO. 8463

FILING RECEIPT



OC000000007766120

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Scully Scott Murphy & Presser
400 Garden City Plaza
Garden City, NY 11530

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MAY 20 2002

TECH CENTER 1600/2900

Date Mailed: 04/05/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Richard James Lewis, Queensland, AUSTRALIA;
Paul Francois Alewood, Queensland, AUSTRALIA;
Iain Andrew Sharpe, Queensland, AUSTRALIA;

Should Read:
PAUL FRANCIS ALEWOOD

Incorrect

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/AU99/00843 10/01/1999

Foreign Applications

AUSTRALIA PP 6273 10/02/1998

Projected Publication Date: Not Applicable, filed prior to November 29, 2000

Non-Publication Request: No

Early Publication Request: No

Title

Novel peptides

Preliminary Class

530